CUSTOMER NO. 22,852

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 000FORM PTO-1390 REV. 2/01T ATTORNEY'S DOCKET NUMBER · TRANSMITTAL LETTER TO THE UNITED STATES 05788.0351-00000 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. **CONCERNING A FILING UNDER 35 U.S.C. 371** (If known, see 37CFR1 10/532302 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2003/011639 October 21, 2003 October 25, 2002 TITLE OF INVENTION CERAMIC ANODE SOLID OXIDE FUEL CELL APPLICANT(S) FOR DO/EO/US Antonino Salvatore ARICO, Laura Rosalba GULLO, Daniela LA ROSA, Stefania SIRACUSANO, Ana Berta LOPES CORREIRA TAVARES, and Agustin SIN XICOLA Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1.  $\boxtimes$ This is a FIRST submission of items concerning a filing under 35 U.S.C 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 4. 5.  $\boxtimes$ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).  $\boxtimes$ is attached hereto (required only if not communicated by the International Bureau. b.  $\boxtimes$ has been communicated by the International Bureau. is not required, as the application was filed with the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154 (d)(4).  $\boxtimes$ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired.  $\boxtimes$ have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13.  $\boxtimes$ A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A Substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. A second copy of the published international application under 35 U.S.C. 154 (d)(4). 19. П A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).  $\boxtimes$ 20. Other items or information:

Copy of cover page of International Publication No. WO 2004/038844 A1

Copy of Notification of Missing Requirements.

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b.

c.

| 10/532302 1.5)   |                                       |  | INTERNATIONAL APPLICATION NO.<br>PCT/EP2003/011639   |  |                                    | ATTORNEY'S DOCKET<br>NUMBER<br>05788.0351-00000 |                |
|--|---------------------------------------|--|--|--|------------------------------------|---|----------------|
| 21. \( \square\) The following fees are submitted:   |                                       |  |  |  |                                    |   | Office Use Onl |
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): \$300.00  |                                       |  |  |  |                                    |   |                |
| National Stage Search Fee\$500.00  |                                       |  |  |  |                                    |   |                |
| National Stage Examination Fee. \$200.00   |                                       |  |  |  |                                    | \$  |                |
| National Stage Ex  | allillation                           | r ====================================   | •••••  | •••••                                      | 5200.00                            |   |                |
|  |                                       |  | ENTER APPROPI  |  |                                    | \$1000.00                                       |                |
| listing or com   | puter prog                            | ication and draw<br>ram listing filed i<br>aper or fraction t  | ings filed in paper over<br>n an electronic medium)<br>hereof.   | 100 sheets (exclude). The fee is \$250     | ing sequence<br>for each           |   |                |
| Total Sheets   | Extra Sheets                          |  | Number of additional 50 or fraction thereof (rounded up to a whole number  |  | Rate                               |   |                |
| 28 - 100 = 0/50=   |                                       |  |  | x 250.00                                   | \$                                 |   |                |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).                               |                                       |  |  |  |                                    |   |                |
| CLAIMS   | NUM                                   | IBER FILED   | NUMBER EXTRA   | RA   | TE                                 |   |                |
| Total Claims   | 32                                    | - 20 =   | 12   | x \$50                                     | 0.00                               | \$600.00  |                |
| Independent Claims   | 4 -3 = 1 x \$200.00                   |  | 0.00   | \$200.00                                   |                                    |   |                |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360.00  |                                       |  |  |  |                                    | \$  |                |
| TOTAL OF THE ABOVE CALCULATIONS =  |                                       |  |  |  |                                    | \$1800.00                                       | <u> </u>       |
| ☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  |                                       |  |  |  |                                    | \$  |                |
| SUBTOTAL =   |                                       |  |  |  |                                    | \$1800.00                                       |                |
| Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest priority date (37 CFR 1.492(f)).                             |                                       |  |  |  |                                    | \$  |                |
| TOTAL NATIONAL FEE =   |                                       |  |  |  |                                    | \$1800.00                                       |                |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. |                                       |  |  |  |                                    | \$  |                |
| TOTAL FEES ENCLOSED =  |                                       |  |  |  |                                    | \$1800.00                                       |                |
|  |                                       |  |  |  |                                    | Amount to be refunded:                          | \$             |
|  | · · · · · · · · · · · · · · · · · · · |  |  |  |                                    | charged:  | \$             |
| _  |                                       |  | 0.00 to cover the  |  |                                    |   |                |
| A dupl   | icate copy                            | of this sheet is en  |  | _  | `\$ t                              |   |                |
| c. 🛭 The Co<br>Deposi  | mmission<br>t Account                 | er is hereby autho<br>No. <u>06-0916.</u>  | orized to charge any add A duplicate copy of   | itional fees which of this sheet is encl   | may be required, osed.             | or credit any ove                               | erpayment to   |
| d.   | e to be cha                           | arged to a credit outline arged to a credit of a credi | eard. WARNING: Information Inf | rmation on this for<br>de credit card info | m may become p<br>rmation and auth | ublic. <b>Credit ca</b><br>orization on PTC     | rd<br>)-2038.  |
| NOTE: Where an a must be filed and g   | ppropriate                            | e time limit under   | 37 CFR 1.494 or 1.495 ation to pending status.   | has not been met,                          | a petition to reviv                | ve (37 CFR 1.13°                                | 7 (a) or (b))  |
| SEND ALL COR   |                                       |  |  |  | 1/7-                               |   |                |
| Finnegan, Hende  |                                       |  | Dunner, L.L.P.   | JAKA                                       | 1-1/2                              |   |                |
| 901 New York Avenue, N.W.  Washington, D.C. 20001-4413  SIGNATURE  Fract F. Charmen/Reg. No. 25 0  |                                       |  |  |  |                                    |   |                |
| Effect F. Chapman Reg. No. 23,9  |                                       |  |  |  |                                    | 51  |                |
| NAME/REGISTRATION NO.  |                                       |  |  |  |                                    |   |                |